



SEP - 4 2018

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK  
Attn : Lehman Brothers Holdings Inc.  
One Bowling Green  
New York, NY 10004-1408  
USA

Geneva, 31.08.2018  
Our ref: 2197/DA

**Re : Lehman Brothers Holding Inc., et al., Debtors**  
**Chapter 11, Case No. 08.13555 (JMP) (Jointly Administered)**  
**TRANSFER OF CLAIM**

Dear Sirs,

Acting as authorised representatives of Banque Pictet & Cie SA, we are pleased to request the transfer of a portion of the claim number 57859 filled in the name of Deutsche Bank AG, Singapore (Transferor) to Banque Pictet & Cie SA (Transferee).

In order to support our transfer request, please find enclosed herewith the following documents duly completed and signed by the Transferor and/or the Transferee.

Banque Pictet & Cie SA  
Route des Acacias 60  
1211 Genève 73  
Suisse  
+41 58 323 2323 — T É L  
+41 58 323 2324 — F A X  
groupe.pictet

- Form 210A duly signed by the Transferee;
- Evidence of Transfer of Claim duly signed by the Transferor;
- Schedule 1 to the Evidence of Transfer of Claim

We would be much appreciative if you could acknowledge receipt of the present request and confirm the transfer, either by email (daeschlimann@pictet.com), fax (+41583232050), telephone (+41583232197) or post mail to the attention of Mr. David Aeschlimann.

Should you need any further information, please do not hesitate to contact the above-named.

Yours sincerely,

BANQUE PICTET & CIE SA

Mélanie Pichonnaz

David Aeschlimann

Form 210A (10/06)

# United States Bankruptcy Court

Southern District Of New York

In re Lehman Brothers Holdings Inc., et al., Debtors.

Case No. 08-13555 CJMP

## TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence, attached hereto, and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Banque Pictet & Cie SA  
Name of Transferee

Deutsche Bank AG, Singapore  
Name of Transferor

Name and Address where notices  
to transferee should be sent:

Banque Pictet & Cie SA  
Att : David Aeschlimann  
Route des Acacias 60  
1211 Geneva 73

Court Claim# (if known): 57859  
Date Claim Filed: October 30, 2009  
Amount of Claim: \_\_\_\_\_  
Portion of Claim Transferred (see  
Schedule I): Nominal USD 100'000  
Nominal EUR 100'000

Phone: +41.58.323.2197

Phone: \_\_\_\_\_

Last Four Digits of Acct#: \_\_\_\_\_

Last Four Digits of Acct. #: \_\_\_\_\_

Name and Address where transferee  
payments should be sent (if different  
from above):

Phone: \_\_\_\_\_

Last Four Digits of Acct#: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: BANQUE PICTET & CIE SA  
Transferee/Transferee's Agent

Date : 31 AOUT 2018

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

  
Mélanie PICHONNAZ

  
David AESCHLIMANN

**EVIDENCE OF TRANSFER OF CLAIM**

TO: United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court")  
One Bowling Green  
New York, New York 10004  
Attention: Clerk of the Court

AND TO: Lehman Brothers Holdings Inc. (the "Debtor")

Case Name and Number: *In re Lehman Brothers Holdings Inc., No. 08-13555 (JMP)*

Proof of Claim Number: **57859** (the "Proof of Claim")

Portion of the Claim Subject to Transfer (the "Transferred Claim"):

**This Evidence of Transfer of Claim relates solely to that portion of the Proof of Claim that is based on the following Lehman Programs Securities:**

DESCRIPTION OF SECURITY	ISIN	ISSUER	GUARANTOR	FACE AMOUNT
Lehman Bros	XS0298442160	Lehman Brothers Treasury Co BV	LBHI	100,000
Lehman Bros	XS0300662607	Lehman Brothers Treasury Co BV	LBHI	100,000

**The aggregate amount of the Transferred Claim is To Be Determined. For the avoidance of doubt, these Lehman Programs Securities are described on the Addendum to the Proof of Claim.**

DEUTSCHE BANK AG, SINGAPORE, ("Transferor") hereby acknowledges to have unconditionally and irrevocably transferred and assigned to:

**Banque Pictet & Cie SA**


("Transferee") all rights, title and interest in and to the Transferred Claim against the Debtor in Case No. 08-13555 (JMP) in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.


Transferor hereby waives any objection to the transfer of the Transferred Claim to Transferee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring the Transferred Claim to Transferee and recognizing the Transferee as the sole holder of the Transferred Claim.

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Transferred Claim to Transferee.

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Transfer of Claim by its duly authorized representative dated 30 August, 2018.

DEUTSCHE BANK AG, SINGAPORE  
Transferor

By:   
Name: Collins Leong  
Title: Associate

By:   
Name: Joline Koh  
Title: Head of Corporate Action

ACKNOWLEDGED BY:

Banque Pictet & Cie SA  
Transferee

By:   
Name: David AESCHLIMANN  
Title:

By:   
Name: Mélanie PICHONNAZ  
Title:

**Notice Pursuant to Bankruptcy Rule 3001**

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

In re: **Lehman Brothers Holdings Inc.**

Case No.: (JMP)

Court ID (Court Use Only) \_\_\_\_\_

**NOTICE OF TRANSFER OF CLAIMS OTHER THAN FOR SECURITY  
PURSUANT TO BANKRUPTCY RULE 3001(E)(2)**

CLAIMS HAVE BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. §1111(a). Pursuant to Bankruptcy Rule 3001(e)(2), Transferee hereby gives notice of the transfer, other than for security, of the claims referenced herein.

<b>Name of Transferee:</b> <b>Banque Pictet &amp; Cie SA</b>	<b>Name of Transferor:</b> <b>DEUTSCHE BANK AG, SINGAPORE</b>
Notices to Transferee should be sent to: <b>Attn : David Aeschlimann</b> <b>Route des Acacias 60</b> <b>1211 Genève 73</b> <b>Switzerland</b>	Court Record Address of the Transferor: (Court use only)
Name and Address where transferee payments should be sent (if different from above):	Name and Current Address of Transferor <b>One Raffles Quay South Tower</b> <b>#20-00</b> <b>Singapore 048583</b>
<b>Amount of Claim Being Transferred:</b>  <b>ISIN            NOMINAL</b> <b>XS0298442160   USD100,000</b> <b>XS0300662607   EUR100,000</b>	<b>NOTE: THIS IS A PARTIAL TRANSFER OF CLAIM ONLY — SEE ATTACHED EVIDENCE OF TRANSFER FOR DETAILS</b>
<b>Court Claim No. (if known): 57859.01</b>	
<b>Date Claim Filed: 30 Oct 2009</b>	

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: **DEUTSCHE BANK AG, SINGAPORE**  
**One Raffles Quay South Tower**  
**#20-00**  
**Singapore 048583**



Date: 30 Aug 2018

*Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years or both. 18 U.S.C. §§ 152 & 3571. As set forth in the attached Evidence of Transfer of Claim, Transferor has waived to the fullest extent permitted by law any notice or right to receive notice of a hearing under Bankruptcy Rule 3001(e).*